

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION: Harvey POLLARD, et al.

GROUP ART UNIT:

SERIAL NUMBER: 10/560,590

EXAMINER:

FILED: December 13, 2005

FOR: AMPHIPHILIC PYRIDINIUM COMPOUNDS, METHOD OF MAKING AND USE THEREOF



INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. 1.97

Commissioner for Patents  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Sir:

Applicant(s) wish(es) to disclose the following information.

REFERENCES

- ☒ Applicant(s) wish(es) to make of record the documents listed on the attached Form PTO-1449. Copies of the listed documents are attached, where required, as are either statements of relevancy or any readily available full or partial English translations of any non-English-language documents. The listing of a document on the attached form attached PTO-1449 should not be taken as an admission that such documents are prior art.
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RELATED CASES

- ☐ Attached is a list of Applicant's(s') pending applications and issued patents which may be related to the present application. Copies of the documents, where required, are attached along with Form PTO-1449.

CERTIFICATION

The undersigned certifies that

- ☐ each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application for the first time (to the knowledge of the undersigned, having made reasonable inquiry) not more than three months prior to the filing of this statement.
- ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign or international patent office in a counterpart foreign or international application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

BASIS FOR CONSIDERATION

This Information Disclosure Statement is filed:

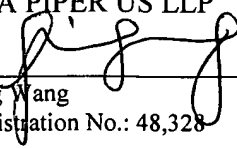
- ☐ without fee and within three months of the filing date of the application.
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- ☒ without fee and before the mailing date of a first Office Action on the merits (to the knowledge of the undersigned).
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DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 50-1442.

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Form PTO 1449

U.S. DEPARTMENT OF  
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PATENT AND TRADEMARK  
OFFICE

DOCKET NO.

9551-022 NATL

SERIAL NO.

10/560,590

APPLICANT

Harvey POLLARD, et al.

FILING DATE

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GROUP ART UNIT

LIST OF REFERENCES CITED BY APPLICANT  
(Use Several Sheets if Necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,500,852B1	12/31/2002	Brouillette, et al.	514	414	July 14, 2000
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AJ	WO 02/065977A2	August 29, 2002	ISRAEL	X	

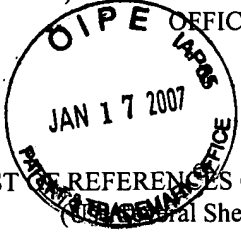
## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

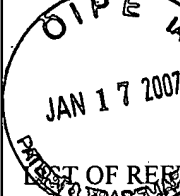
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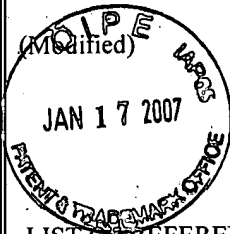
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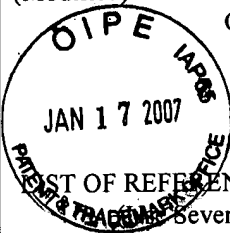
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Form PTO 1449 U.S. DEPARTMENT OF (Modified) COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO. 9551-022 NATL	SERIAL NO. 10/560,590
 LIST OF REFERENCES CITED BY APPLICANT (Include all References if Necessary)		APPLICANT Harvey POLLARD, et al..	
		FILING DATE December 13, 2005	GROUP ART UNIT
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)			
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	CX	KEREM, B, et al., "Identification of the Cystic Fibrosis Gene: Genetic Analysis", 16 pages, American Association for the Advancement of Science (1989).			
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	DN	M. XIA, et al., "Interleukin-8 Receptor B Immunoreactivity In Brain and Neuritic Plaques of Alzheimer's Disease" American Journal of Pathology, pages 1267-1274, Vol. 150, No. 4, American Society for Investigative Pathology (1997).	
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*EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.			